

PATENT Customer No. 22,852 Attorney Docket No. 04329.2553

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Masaaki HATANO et al.	) Group Art Unit: 2827
Serial No.: 09/816,177	) Examiner: Mitchell, James M.
Filed: March 26, 2001	)
For: SEMICONDUCTOR DEVICE	)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e)

Certification: Each document listed in this Information Disclosure Statement was first cited in a Korean Patent Office Action mailed July 15, 2003 from the Korean Patent Office in a counterpart Korean Application No. 10-2001-0014822, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com Copies of the listed documents, are attached. In lieu of a statement of relevance or translation of the non-English documents, an English language version of the Korean Patent Office Action in counterpart Korean Application No. 10-2001-0014822 citing these documents

and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 3, 2003

Bryan S. Latham Reg. No. 49,085

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## **INFORMATION DISCLOSURE CITATION**

Atty. Docket No.	04329.2553	Appln. No.	09/816,177
Applicant	Masaaki HATANO et al.		
Filing Date	March 26, 2001	Group:	2827

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
SEP 0 3 2003						
PADEMARKO						
4405						

FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	1999-0040755	06/1999	Korea			No
,	11-111842	04/1999	Japan			No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				

Examiner	Examiner Date Considered	
*Examiner:	Initial if reference con through citation if not communication to app	sidered, whether or not citation is in conformance with MPEP 609; draw line in conformance and not considered. Include copy of this form with next blicant.
Form PTO 14	49	Patent and Trademark Office - U.S. Department of Commerce